SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL	
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol	
Qu Yu (Last) (First) (Middle) BUILDING 19, NO. 1355 CAOBAO ROAD, MINHANG DISTRICT (Street) SHANGHAI 200233 (City) (State) (Zip) CHINA (Country)	03/18/2026	NIO Inc. [NIO]	
		3a. Foreign Trading Symbol	5. If Amendment, Date of Original Filed (Month/Day/Year)
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title below) Other (specify below) Chief Financial Officer	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned			
1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
American depositary shares(1)	215,088	D	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Options (right to buy)	(2)	12/31/2030	Class A ordinary shares	64,585	$3.61	D	
Options (right to buy)	(2)	12/31/2030	Class A ordinary shares	32,000	$2.05	D	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Options (right to buy)	(2)	12/31/2030	Class A ordinary shares	700,000	$1.94	D	
Options (right to buy)	(2)	04/01/2030	Class A ordinary shares	80,000	$2.39	D	
Options (right to buy)	(2)	01/31/2028	Class A ordinary shares	20,000	$2.55	D	
Options (right to buy)	04/02/2026	04/01/2030	Class A ordinary shares	40,000	$2.39	D	
Restricted share units	(3)	(3)	Class A ordinary shares	45,000	(3)	D	
Restricted share units	(4)	(4)	Class A ordinary shares	8,762	(4)	D	
Restricted share units	(5)	(5)	Class A ordinary shares	8,762	(5)	D	
Restricted share units	(6)	(6)	Class A ordinary shares	200,000	(6)	D	
Restricted share units	(7)	(7)	Class A ordinary shares	200,000	(7)	D	
Restricted share units	(8)	(8)	Class A ordinary shares	200,000	(8)	D	
Restricted share units	(9)	(9)	Class A ordinary shares	200,000	(9)	D	
Restricted share units	(10)	(10)	Class A ordinary shares	200,000	(10)	D	
Restricted share units	(11)	(11)	Class A ordinary shares	9,600	(11)	D	
Restricted share units	(12)	(12)	Class A ordinary shares	9,600	(12)	D	
Restricted share units	(13)	(13)	Class A ordinary shares	9,600	(13)	D	

Explanation of Responses:

1. Each American depositary share represents one Class A ordinary share.

2. Represents options granted to the reporting person pursuant to the issuer's share incentive plans, all of which have vested as of the date of this Form 3.

3. Represents restricted share units granted to the reporting person pursuant to the issuer's share incentive plans, which do not have expiration dates. The restricted share units evidence the contingent right to receive Class A ordinary shares upon vesting, and will vest on 09/01/2026.

4. Represents restricted share units granted to the reporting person pursuant to the issuer's share incentive plans, which do not have expiration dates. The restricted share units evidence the contingent right to receive Class A ordinary shares upon vesting, and will vest on 03/01/2027.

5. Represents restricted share units granted to the reporting person pursuant to the issuer's share incentive plans, which do not have expiration dates. The restricted share units evidence the contingent right to receive Class A ordinary shares upon vesting, and will vest on 03/01/2028.

6. Represents restricted share units granted to the reporting person pursuant to the issuer's share incentive plans, which do not have expiration dates. The restricted share units evidence the contingent right to receive Class A ordinary shares upon vesting, and will vest on 06/01/2026.

7. Represents restricted share units granted to the reporting person pursuant to the issuer's share incentive plans, which do not have expiration dates. The restricted share units evidence the contingent right to receive Class A ordinary shares upon vesting, and will vest on 09/01/2026.

8. Represents restricted share units granted to the reporting person pursuant to the issuer's share incentive plans, which do not have expiration dates. The restricted share units evidence the contingent right to receive Class A ordinary shares upon vesting, and will vest on 09/01/2027.

9. Represents restricted share units granted to the reporting person pursuant to the issuer's share incentive plans, which do not have expiration dates. The restricted share units evidence the contingent right to receive Class A ordinary shares upon vesting, and will vest on 09/01/2028.

10. Represents restricted share units granted to the reporting person pursuant to the issuer's share incentive plans, which do not have expiration dates. The restricted share units evidence the contingent right to receive Class A ordinary shares upon vesting, and will vest on 09/01/2029.

11. Represents restricted share units granted to the reporting person pursuant to the issuer's share incentive plans, which do not have expiration dates. The restricted share units evidence the contingent right to receive Class A ordinary shares upon vesting, and will vest on 03/01/2027.

12. Represents restricted share units granted to the reporting person pursuant to the issuer's share incentive plans, which do not have expiration dates. The restricted share units evidence the contingent right to receive Class A ordinary shares upon vesting, and will vest on 03/01/2028.

13. Represents restricted share units granted to the reporting person pursuant to the issuer's share incentive plans, which do not have expiration dates. The restricted share units evidence the contingent right to receive Class A ordinary shares upon vesting, and will vest on 03/01/2029.

Remarks:

Exhibit 24 - Power of Attorney

/s/ Eve Tang, Attorney-in-Fact for Yu Qu	03/18/2026
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

*** Form 3: SEC 1473 (03-26)**