

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00287

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	21	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00754		
3. Name of listed corporation filing this Form 4	Hopson Development Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
Mellon Trust of New England National Association	1,142,000	Share are held on behalf of the individual investors
The Hong Kong and Shanghai Banking Corporation Limited Fund Services	1,250,000	Share are held on behalf of the individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

2

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00284

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	20	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00754		
3. Name of listed corporation filing this Form 4	Hopson Development Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Essence International Financial Holdings Limited	7,076,000	Share are held on behalf of the individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00283

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	20	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00754		
3. Name of listed corporation filing this Form 4	Hopson Development Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Credit Suisse Securities (Europe) Limited	40,000	Share are held on behalf of the individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00282

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00754		
3. Name of listed corporation filing this Form 4	Hopson Development Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
SHENWAN HONGYUAN SECURITIES (HONG KONG) LIMITED	352,000	Share are held on behalf of the individual investors		
The Hongkong and Shanghai Banking Corporation Limited	44,599,623	Share are held on behalf of the individual investors		
UBS AG London	454,808	Share are held on behalf of the individual investors		
UBS Securities Hong Kong Limited	11,521,324	Share are held on behalf of the individual investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

4

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00281

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00754		
3. Name of listed corporation filing this Form 4	Hopson Development Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Goldman Sachs International	2,270,089	Share are held on behalf of the individual investors
HSBC Broking Securities (Asia) Limited	14,234,000	Share are held on behalf of the individual investors
HSBC Private Bank	138,000	Share are held on behalf of the individual investors
JP Morgan Chase Bank	21,109,108	Share are held on behalf of the individual investors
Morgan Stanley and Company International Plc	12,481,733	Share are held on behalf of the individual investors
Morgan Stanley and Company	655,618	Share are held on behalf of the individual investors
Morgan Stanley Asia Limited	330,000	Share are held on behalf of the individual investors
MORGAN STANLEY HONG KONG SECURITIES LIMITED	655,618	Share are held on behalf of the individual investors
RBC Dain Rauscher Incorporated	892,000	Share are held on behalf of the individual investors
RBC Dexia Investor Services Luxembourg	162,000	Share are held on behalf of the individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00280

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00754		
3. Name of listed corporation filing this Form 4	Hopson Development Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Barclays Capital Securities	2,140,000	Share are held on behalf of the individual investors
BNP Paribas Securities Services	232,975	Share are held on behalf of the individual investors
CCB International Securities Limited	2,000	Share are held on behalf of the individual investors
CHINA EVERBRIGHT SECURITIES (HONG KONG) LIMITED	710,000	Share are held on behalf of the individual investors
Citibank N.A	51,118,889	Share are held on behalf of the individual investors
Credit Suisse AG Hong Kong Branch	14,748,000	Share are held on behalf of the individual investors
Deutsche Bank AG Hong Kong	7,263,012	Share are held on behalf of the individual investors
Deutsche Bank AG London	126,431	Share are held on behalf of the individual investors
Deutsche Bank Frankfurt	1,350	Share are held on behalf of the individual investors
Goldman Sachs Company	66,000	Share are held on behalf of the individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00279

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00754		
3. Name of listed corporation filing this Form 4	Hopson Development Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank	18,975,738	Share are held on behalf of the individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00278

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08126		
3. Name of listed corporation filing this Form 4	G.A. Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
DBS VICKERS (HONG KONG) LTD	3,900,000	Shares held on behalf of their investors		
PHILLIP SECURITIES (HONG KONG) LTD	2,608,000	Shares held on behalf of their investors		
NANYANG COMMERCIAL BANK LTD	5,212,000	Shares held on behalf of their investors		
HANG SENG SECURITIES LTD	1,268,000	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

0

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00274

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	21	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01776		
3. Name of listed corporation filing this Form 4	GF Securities Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
Mellon Trust of New England National Association	532,406	Shares held on behalf of an individual investors
The Hong Kong and Shanghai Banking Corporation Limited Fund Services	47,561,212	Shares held on behalf of an individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

2

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00270

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01776		
3. Name of listed corporation filing this Form 4	GF Securities Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
The Hongkong and Shanghai Banking Corporation Limited	153,748,751	Shares held on behalf of an individual investors		
UBS AG London	12,190,654	Shares held on behalf of an individual investors		
UBS Luxembourg S.A	126,600	Shares held on behalf of an individual investors		
UBS Securities Hong Kong Limited	37,537,215	Shares held on behalf of an individual investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

4

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00269

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01776		
3. Name of listed corporation filing this Form 4	GF Securities Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Morgan Stanley and Company International Plc	45,779,011	Shares held on behalf of an individual investors
Morgan Stanley and Company	2,036,200	Shares held on behalf of an individual investors
Morgan Stanley Asia Limited	5,224,071	Shares held on behalf of an individual investors
MORGAN STANLEY HONG KONG SECURITIES LIMITED	2,036,200	Shares held on behalf of an individual investors
National Australia Bank Limited	987,400	Shares held on behalf of an individual investors
RBC Dain Rauscher Incorporated	187,000	Shares held on behalf of an individual investors
RBC Dexia Investor Services Luxembourg	25,600	Shares held on behalf of an individual investors
Sheng Yuan Securities Limited	800	Shares held on behalf of an individual investors
SHENWAN HONGYUAN SECURITIES (HONG KONG) LIMITED	3,430,400	Shares held on behalf of an individual investors
Skandinaviska Enskilda Banken	538,269	Shares held on behalf of an individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00268

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01776		
3. Name of listed corporation filing this Form 4	GF Securities Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Deutsche Bank AG Hong Kong	83,809,111	Shares held on behalf of an individual investors
Deutsche Bank AG London	634,577	Shares held on behalf of an individual investors
Deutsche Bank AG Prime Brokerage	163,600	Shares held on behalf of an individual investors
Deutsche Bank Frankfurt	5	Shares held on behalf of an individual investors
Deutsche Securities Asia Limited	163,600	Shares held on behalf of an individual investors
Goldman Sachs Company	1,000,000	Shares held on behalf of an individual investors
Goldman Sachs International	10,717,290	Shares held on behalf of an individual investors
HSBC Broking Securities (Asia) Limited	8,871,800	Shares held on behalf of an individual investors
HSBC Private Bank	26,452,720	Shares held on behalf of an individual investors
JP Morgan Chase Bank	87,390,491	Shares held on behalf of an individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00267

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	24	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01828		
3. Name of listed corporation filing this Form 4	Dah Chong Hong Holdings Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Skandinaviska E Banken	1,550,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00266

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01776		
3. Name of listed corporation filing this Form 4	GF Securities Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
ABN Amro Clearing Bank and Custody	1,000	Shares held on behalf of an individual investors
Barclays Capital Securities	2,372,384	Shares held on behalf of an individual investors
BNP Paribas Australia	24,350	Shares held on behalf of an individual investors
BNP Paribas Securities Services	3,310,915	Shares held on behalf of an individual investors
CCB International Securities Limited	415,600	Shares held on behalf of an individual investors
CHINA EVERBRIGHT SECURITIES (HONG KONG) LIMITED	1,193,980	Shares held on behalf of an individual investors
Citibank N.A	201,927,614	Shares held on behalf of an individual investors
Citibank	50,273,801	Shares held on behalf of an individual investors
Credit Suisse AG Hong Kong Branch	4,397,318	Shares held on behalf of an individual investors
DB Nominees (Singapore) Pte Limited	600	Shares held on behalf of an individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00265

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	06030		
3. Name of listed corporation filing this Form 4	CITIC Securities Company Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
---------	------------

Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HSBC Bank plc Global Investor Services	12,502,151	Shares held on behalf of their investors		
The Bank of New York Mellon (New York)	2,269,510	Shares held on behalf of their investors		
The Bank of New York Mellon (Brussels)	387,713,065	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00261

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01776		
3. Name of listed corporation filing this Form 4	GF Securities Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank	83,676,085	Shares held on behalf of an individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00259

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00884		
3. Name of listed corporation filing this Form 4	CIFI Holdings (Group) Co. Ltd		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HSBC Bank plc Global Investor Services	52,292,123	Shares held on behalf of their investors		
The Bank of New York Mellon (New York)	1,604,924	Shares held on behalf of their investors		
The Bank of New York Mellon (Brussels)	221,698,978	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00257

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	21	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01448		
3. Name of listed corporation filing this Form 4	Fu Shou Yuan International Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
The Hong Kong and Shanghai Banking Corporation Limited Fund Services	9,119,900	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row
Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row
Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row
Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00256

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01966		
3. Name of listed corporation filing this Form 4	China SCE Group Holdings Ltd		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HSBC Bank plc Global Investor Services	0	Shares held on behalf of their investors		
The Bank of New York Mellon (New York)	8,437,000	Shares held on behalf of their investors		
The Bank of New York Mellon (Brussels)	92,280,923	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00254

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01448		
3. Name of listed corporation filing this Form 4	Fu Shou Yuan International Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HSBC Broking Securities (Asia) Limited	3,722,000	Shares held on behalf of individual investors		
HSBC Private Bank	8,370,000	Shares held on behalf of individual investors		
MORGAN STANLEY HONG KONG SECURITIES LIMITED	18,626,700	Shares held on behalf of individual investors		
SHENWAN HONGYUAN SECURITIES (HONG KONG) LIMITED	3,224,000	Shares held on behalf of individual investors		
The Hongkong and Shanghai Banking Corporation Limited	141,246,412	Shares held on behalf of individual investors		
UBS Securities Hong Kong Limited	267,948,946	Shares held on behalf of individual investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded6

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00253

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01448		
3. Name of listed corporation filing this Form 4	Fu Shou Yuan International Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
BNP Paribas Australia	1,000	Shares held on behalf of individual investors
BNP Paribas Securities Services	5,308,793	Shares held on behalf of individual investors
CCB International Securities Limited	348,000	Shares held on behalf of individual investors
CHINA EVERBRIGHT SECURITIES (HONG KONG) LIMITED	1,936,000	Shares held on behalf of individual investors
Citibank N.A	46,219,422	Shares held on behalf of individual investors
CMB INTERNATIONAL SECURITIES LIMITED	463,000	Shares held on behalf of individual investors
Credit Suisse AG Hong Kong Branch	510,000	Shares held on behalf of individual investors
DB Nominees (Singapore) Pte Limited	1,188,000	Shares held on behalf of individual investors
Deutsche Bank AG Hong Kong	13,012,158	Shares held on behalf of individual investors
Deutsche Securities Asia Limited	1,147,000	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00250

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01448		
3. Name of listed corporation filing this Form 4	Fu Shou Yuan International Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank	143,211,912	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00247

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	21	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00142		
3. Name of listed corporation filing this Form 4	First Pacific Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
The Hong Kong and Shanghai Banking Corporation Limited Fund Services	35,975,351	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row
Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row
Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row
Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00244

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	24	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02688		
3. Name of listed corporation filing this Form 4	ENN Energy Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	52,931,005	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00243

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	21	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02688		
3. Name of listed corporation filing this Form 4	ENN Energy Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
Mellon Trust of New England National Association	3,684,341	Shares held on behalf of individual investors
The Hong Kong and Shanghai Banking Corporation Limited Fund Services	18,659,508	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

2

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00240

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00388		
3. Name of listed corporation filing this Form 4	Hong Kong Exchanges and Clearing Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HSBC Bank plc Global Investor Services	13,324,863	Shares held on behalf of their investors		
The Bank of New York Mellon (New York)	5,392,704	Shares held on behalf of their investors		
The Bank of New York Mellon (Brussels)	71,985,392	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00239

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	20	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02688		
3. Name of listed corporation filing this Form 4	ENN Energy Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
Credit Suisse International	1,007	Shares held on behalf of individual investors		
Credit Suisse Securities (Europe) Limited	37,300	Shares held on behalf of individual investors		
Master Trust Bank of Japan Limited	8,155,480	Shares held on behalf of individual investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

3

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00238

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02688		
3. Name of listed corporation filing this Form 4	ENN Energy Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
UBS Securities Hong Kong Limited	5,640,877	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00237

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00877		
3. Name of listed corporation filing this Form 4	O-Net Technologies (Group) Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
The Bank of New York Mellon (Brussels)	14,802,758	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row
Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row
Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row
Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00236

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00715		
3. Name of listed corporation filing this Form 4	China Oceanwide Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
HSBC Bank plc Global Investor Services	2,199,000	Shares held on behalf of their investors
The Bank of New York Mellon (Brussels)	45,540,435	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00235

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02688		
3. Name of listed corporation filing this Form 4	ENN Energy Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row

Delete Row

Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Morgan Stanley and Company	2,063,927	Shares held on behalf of individual investors
Morgan Stanley Asia Limited	8,000	Shares held on behalf of individual investors
MORGAN STANLEY HONG KONG SECURITIES LIMITED	2,063,927	Shares held on behalf of individual investors
RBC Dain Rauscher Incorporated	938,597	Shares held on behalf of individual investors
RBC Dexia Investor Services Luxembourg	18,084,914	Shares held on behalf of individual investors
SHENWAN HONGYUAN SECURITIES (HONG KONG) LIMITED	30,000	Shares held on behalf of individual investors
Skandinaviska Enskilda Banken	1,303,469	Shares held on behalf of individual investors
The Hongkong and Shanghai Banking Corporation Limited	216,106,247	Shares held on behalf of individual investors
UBS AG London	608,065	Shares held on behalf of individual investors
UBS Luxembourg S.A	108,100	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00234

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	29	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00877		
3. Name of listed corporation filing this Form 4	O-Net Technologies (Group) Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	346,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00231

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01208		
3. Name of listed corporation filing this Form 4	MMG Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HSBC Bank plc Global Investor Services	11,049,299	Shares held on behalf of their investors		
The Bank of New York Mellon (New York)	1,017,777	Shares held on behalf of their investors		
The Bank of New York Mellon (Brussels)	76,195,824	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00228

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02688		
3. Name of listed corporation filing this Form 4	ENN Energy Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
DB Nominees (Singapore) Pte Limited	326,800	Shares held on behalf of individual investors
Deutsche Bank AG Hong Kong	16,109,483	Shares held on behalf of individual investors
Deutsche Bank AG London	94,599	Shares held on behalf of individual investors
Deutsche Bank Frankfurt	10,020	Shares held on behalf of individual investors
Euroclear	155,600	Shares held on behalf of individual investors
Goldman Sachs Company	570,500	Shares held on behalf of individual investors
Goldman Sachs International	1,557,836	Shares held on behalf of individual investors
HSBC Broking Securities (Asia) Limited	194,100	Shares held on behalf of individual investors
HSBC Private Ban	285,224	Shares held on behalf of individual investors
Morgan Stanley and Company International Plc	188,900,500	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
---------	------------

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00227

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01569		
3. Name of listed corporation filing this Form 4	Minsheng Education Group Company Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
---------	------------

Name	Total number of shares (long position)	Name of person interested in shares
The Bank of New York Mellon (Brussels)	13,016,783	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00226

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02688		
3. Name of listed corporation filing this Form 4	ENN Energy Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Barclays Capital Securities	261,030	Shares held on behalf of individual investors
BNP Paribas Australia	633,282	Shares held on behalf of individual investors
BNP Paribas Fund Services Luxembourg Branch	4,680,913	Shares held on behalf of individual investors
BNP Paribas Securities Services France	2,154,211	Shares held on behalf of individual investors
BNP Paribas Securities Services S.C.A. (Germany)	1,573,945	Shares held on behalf of individual investors
BNP Paribas Securities Services	16,583,019	Shares held on behalf of individual investors
BNP Paribas UK	6,682,373	Shares held on behalf of individual investors
Citibank N.A	63,099,913	Shares held on behalf of individual investors
Citibank	30,421,987	Shares held on behalf of individual investors
Credit Suisse AG Hong Kong Branch	49,621	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00225

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02688		
3. Name of listed corporation filing this Form 4	ENN Energy Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank	156,473,669	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00224

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	29	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02186		
3. Name of listed corporation filing this Form 4	Luye Pharma Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	32,224,960	Shares held on behalf of their investors
Morgan Stanley & Co LLC, Morgan Stanley & Co International plc	39,761,184	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00221

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03380		
3. Name of listed corporation filing this Form 4	Logan Property Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
KAS Bank NV	314,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00219

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03306		
3. Name of listed corporation filing this Form 4	JNBY Design Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HSBC Bank plc Global Investor Services	555,000	Shares held on behalf of their investors		
The Bank of New York Mellon (New York)	258,500	Shares held on behalf of their investors		
The Bank of New York Mellon (Brussels)	13,347,524	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00213

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	23	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01753		
3. Name of listed corporation filing this Form 4	Duiba Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Ping An of China Securities (Hong Kong) Company Limited	308,400	Share are held on behalf of the individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00211

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	21	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01753		
3. Name of listed corporation filing this Form 4	Duiba Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
The Hong Kong and Shanghai Banking Corporation Limited Fund Services	6,803,000	Share are held on behalf of the individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row
Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row
Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row
Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00210

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01753		
3. Name of listed corporation filing this Form 4	Duiba Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
---------	------------

Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
JP Morgan Chase Bank	1,045,600	Share are held on behalf of the individual investors		
RBC Dexia Investor Services Luxembourg	654,000	Share are held on behalf of the individual investors		
The Hongkong and Shanghai Banking Corporation Limited	9,739,000	Share are held on behalf of the individual investors		
UBS Securities Hong Kong Limited	1,300,000	Share are held on behalf of the individual investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

4

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00206

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01753		
3. Name of listed corporation filing this Form 4	Duiba Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Barclays Capital Securities	10,949,120	Share are held on behalf of the individual investors
BNP Paribas Securities Services	2,248,609	Share are held on behalf of the individual investors
Citibank N.A	851,200	Share are held on behalf of the individual investors
CMB INTERNATIONAL SECURITIES LIMITED	2,569,600	Share are held on behalf of the individual investors
Deutsche Bank AG Hong Kong	16,528,391	Share are held on behalf of the individual investors
EVER LONG SECURITIES COMPANY LIMITED	512,000	Share are held on behalf of the individual investors
Goldman Sachs International	8,908,880	Share are held on behalf of the individual investors
HSBC Broking Securities (Asia) Limited	4,400	Share are held on behalf of the individual investors
JOY RICH Securities Investment Limited	10,000	Share are held on behalf of the individual investors
JP MORGAN CHASE BANK NATIONAL	1,045,600	Share are held on behalf of the individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00204

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01813		
3. Name of listed corporation filing this Form 4	KWG Group Holdings Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Bright Smart Securities International (H.K.) Ltd	7,670,483	Shares held on behalf of their investors
BOCI Securities Limited	7,104,471	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)**13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date**

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES**14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date**

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00203

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01753		
3. Name of listed corporation filing this Form 4	Duiba Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank	5,529,700	Share are held on behalf of the individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00202

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	29	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08105		
3. Name of listed corporation filing this Form 4	Kingsley EduGroup Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

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(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
BNP Paribas Securities Services	870,000	Shares held on behalf of their clients		
Freeman Securities Limited	38,335,000	Shares held on behalf of their clients		
Futu Securities International (Hong Kong) Limited	2,780,000	Shares held on behalf of their clients		
Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	3,325,000	Shares held on behalf of their clients		
Southwest Securities (HK) Brokerage Ltd	22,400,000	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00201

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03306		
3. Name of listed corporation filing this Form 4	JNBY Design Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	10,033,093	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00200

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01398		
3. Name of listed corporation filing this Form 4	Industrial and Commercial Bank of China Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
---------	------------

Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
OCBC Securities Pte Ltd	34,344,055	Shares held on behalf of their investors
LGT Bank in Liechtenstein	79,880,676	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)**13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date**

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES**14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date**

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00198

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03813		
3. Name of listed corporation filing this Form 4	Pou Sheng International (Holdings) Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Credit Suisse AG Dublin Branch	113,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00197

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01398		
3. Name of listed corporation filing this Form 4	Industrial and Commercial Bank of China Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Standard Chartered Bank (Hong Kong) Ltd	10,758,993,004	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00196

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	21	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00966		
3. Name of listed corporation filing this Form 4	China Taiping Insurance Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
Mellon Trust of New England National Association	3,533,705	Shares held on behalf of individual investors
The Hong Kong and Shanghai Banking Corporation Limited Fund Services	51,958,116	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

2

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00195

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	20	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00966		
3. Name of listed corporation filing this Form 4	China Taiping Insurance Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Master Trust Bank of Japan Limited	13,894,082	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00194

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03331		
3. Name of listed corporation filing this Form 4	Vinda International Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
BNP Paribas Securities Services	2,361,770	Shares held on behalf of their clients		
The Hongkong and Shanghai Banking Corporation Limited	120,461,213	Shares held on behalf of their clients		
UBS Switzerland AG	162,000	Shares held on behalf of their clients		
Yue Xiu Securities Co Ltd	180,000	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00193

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	03	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01806		
3. Name of listed corporation filing this Form 4	Huifu Payment Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
China International Capital Corporation Hong Kong Securities Ltd	128,077,980	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00192

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	04	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01806		
3. Name of listed corporation filing this Form 4	Huifu Payment Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
China International Capital Corporation Hong Kong Securities Ltd	128,077,980	Shares held on behalf of their investors
Bright Smart Securities International (H.K.) Ltd	1,344,400	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)**13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date**

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES**14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date**

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00191

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02048		
3. Name of listed corporation filing this Form 4	E-House (China) Enterprise Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Afflux Securities Limited	477,600	Shares held on behalf of their clients
BNP Paribas Securities Services	23,549,800	Shares held on behalf of their clients
CCB International Securities Ltd.	7,497,130	Shares held on behalf of their clients
Huatai Financial Holdings (Hong Kong) Limited	29,773,820	Shares held on behalf of their clients
National Financial Services LLC	34,200	Shares held on behalf of their clients
Red Eagle Securities Limited	186,600	Shares held on behalf of their clients
The Hongkong and Shanghai Banking Corporation Limited	302,964,631	Shares held on behalf of their clients
The Hongkong and Shanghai Banking Corporation Limited, Private bank division	6,119,351	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

**18. Number of concert party document(s) under
section 317 attached/uploaded**

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00189

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00966		
3. Name of listed corporation filing this Form 4	China Taiping Insurance Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
UBS AG London	18,828,462	Shares held on behalf of individual investors		
UBS Luxembourg S.A	430,930	Shares held on behalf of individual investors		
UBS Securities Hong Kong Limited	12,927,789	Shares held on behalf of individual investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date			Add Row	Delete Row
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Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

3

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00188

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	87001		
3. Name of listed corporation filing this Form 4	Hui Xian Real Estate Investment Trust		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	19,175,075	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00187

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00966		
3. Name of listed corporation filing this Form 4	China Taiping Insurance Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
HSBC Broking Securities (Asia) Limited	4,706,977	Shares held on behalf of individual investors
HSBC Private Bank	6,958,444	Shares held on behalf of individual investors
HUATAI FINANCIAL HOLDINGS (HONG KONG) LIMITED	1,180,322	Shares held on behalf of individual investors
JP Morgan Chase Bank	144,385,930	Shares held on behalf of individual investors
Morgan Stanley Asia Limited	598,000	Shares held on behalf of individual investors
MORGAN STANLEY HONG KONG SECURITIES LIMITED	17,799,146	Shares held on behalf of individual investors
RBC Dexia Investor Services Luxembourg	25,841,758	Shares held on behalf of individual investors
SHENWAN HONGYUAN SECURITIES (HONG KONG) LIMITED	403,622	Shares held on behalf of individual investors
Skandinaviska Enskilda Banken	848,573	Shares held on behalf of individual investors
The Hongkong and Shanghai Banking Corporation Limited	237,381,695	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00186

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01347		
3. Name of listed corporation filing this Form 4	Hua Hong Semiconductor Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
---------	------------

Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	14,738,299	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00185

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00966		
3. Name of listed corporation filing this Form 4	China Taiping Insurance Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
---------	------------

Name	Total number of shares (long position)	Name of person interested in shares
CMB INTERNATIONAL SECURITIES LIMITED	2,586,600	Shares held on behalf of individual investors
Credit Suisse AG Hong Kong Branch	3,032,568	Shares held on behalf of individual investors
DB Nominees (Singapore) Pte Limited	600	Shares held on behalf of individual investors
Deutsche Bank AG Hong Kong	51,025,596	Shares held on behalf of individual investors
Deutsche Bank AG London	754,544	Shares held on behalf of individual investors
Deutsche Bank AG Prime Brokerage	233,200	Shares held on behalf of individual investors
Deutsche Bank Frankfurt	22,523	Shares held on behalf of individual investors
Deutsche Securities Asia Limited	243,200	Shares held on behalf of individual investors
Goldman Sachs Company	28,600	Shares held on behalf of individual investors
Goldman Sachs International	19,609,281	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
---------	------------

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00184

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	06068		
3. Name of listed corporation filing this Form 4	Wisdom Education International Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
The Bank of New York Mellon (Brussels)	4,659,751	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row
Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row
Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row
Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00183

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01623		
3. Name of listed corporation filing this Form 4	Hilong Holding Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

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(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
Morgan Stanley & Co LLC, Morgan Stanley & Co International plc	54,255,895	Shares held on behalf of their investors		
Credit Suisse Securities (USA) LLC	4,000	Shares held on behalf of their investors		
JPMorgan Chase Bank, National Association	6,048,475	Shares held on behalf of their investors		
UBS A.G. London	500,000	Shares held on behalf of their investors		
JPMorgan Chase Bank, National Association Hong Kong	6,268,475	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00182

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01986		
3. Name of listed corporation filing this Form 4	Tsaker Chemical Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
---------	------------

Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
The Bank of New York Mellon (Brussels)	6,539,940	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00181

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00966		
3. Name of listed corporation filing this Form 4	China Taiping Insurance Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
---------	------------

Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
ABN Amro Clearing Bank and Custody	195,710	Shares held on behalf of individual investors
BNP Paribas Australia	4,459,130	Shares held on behalf of individual investors
BNP Paribas Fund Services Luxembourg Branch	4,007,632	Shares held on behalf of individual investors
BNP Paribas Securities Services France	96,000	Shares held on behalf of individual investors
BNP Paribas Securities Services S.C.A. (Germany)	9,000	Shares held on behalf of individual investors
BNP Paribas Securities Services	9,319,883	Shares held on behalf of individual investors
BNP Paribas Singapore	35,000	Shares held on behalf of individual investors
CCB International Securities Limited	141,042	Shares held on behalf of individual investors
Citibank N.A	108,690,509	Shares held on behalf of individual investors
Citibank	82,864,690	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00180

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03692		
3. Name of listed corporation filing this Form 4	Hansoh Pharmaceutical Group Company Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
---------	------------

Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	46,388,638	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00179

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01300		
3. Name of listed corporation filing this Form 4	Trigiant Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
---------	------------

Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
The Bank of New York Mellon (New York)	124,000	Shares held on behalf of their investors
The Bank of New York Mellon (Brussels)	6,911,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00178

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00966		
3. Name of listed corporation filing this Form 4	China Taiping Insurance Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank	136,705,500	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00176

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00698		
3. Name of listed corporation filing this Form 4	Tongda Group Holdings Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
---------	------------

Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HSBC Bank plc Global Investor Services	0	Shares held on behalf of their investors		
The Bank of New York Mellon (New York)	5,970,000	Shares held on behalf of their investors		
The Bank of New York Mellon (Brussels)	186,120,310	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00175

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03800		
3. Name of listed corporation filing this Form 4	GCL-Poly Energy Holdings Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	73,905,108	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00174

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	21	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03836		
3. Name of listed corporation filing this Form 4	China Harmony New Energy Auto Holding Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
The Hong Kong and Shanghai Banking Corporation Limited Fund Services	48,500	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00173

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00780		
3. Name of listed corporation filing this Form 4	Tongcheng-Elong Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Clearstream Banking SA	4,600	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00172

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	20	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03836		
3. Name of listed corporation filing this Form 4	China Harmony New Energy Auto Holding Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Credit Suisse Securities (Europe) Limited	28,000	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row
Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row
Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row
Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00171

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03836		
3. Name of listed corporation filing this Form 4	China Harmony New Energy Auto Holding Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Morgan Stanley and Company International Plc	573,000	Shares held on behalf of individual investors
Morgan Stanley and Company	8,000	Shares held on behalf of individual investors
MORGAN STANLEY HONG KONG SECURITIES LIMITED	50,500	Shares held on behalf of individual investors
Sheng Yuan Securities Limited	5,000	Shares held on behalf of individual investors
SHENWAN HONGYUAN SECURITIES (HONG KONG) LIMITED	592,500	Shares held on behalf of individual investors
The Hongkong and Shanghai Banking Corporation Limited	74,556,799	Shares held on behalf of individual investors
UBS AG London	3,500	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

7

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00170

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00451		
3. Name of listed corporation filing this Form 4	GCL New Energy Holdings Ltd		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	11,022,710	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00169

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	06808		
3. Name of listed corporation filing this Form 4	Sun Art Retail Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HSBC Bank plc Global Investor Services	59,744,955	Shares held on behalf of their investors		
The Bank of New York Mellon (New York)	2,531,195	Shares held on behalf of their investors		
The Bank of New York Mellon (Brussels)	203,688,735	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00168

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03836		
3. Name of listed corporation filing this Form 4	China Harmony New Energy Auto Holding Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Credit Suisse AG Hong Kong Branch	410,000	Shares held on behalf of individual investors
Deutsche Bank AG Hong Kong	4,181,898	Shares held on behalf of individual investors
Deutsche Bank AG London	72,000	Shares held on behalf of individual investors
Deutsche Bank Frankfurt	1,155,280	Shares held on behalf of individual investors
Deutsche Securities Asia Limited	98,620	Shares held on behalf of individual investors
Euroclear	120,264	Shares held on behalf of individual investors
Goldman Sachs Company	349,500	Shares held on behalf of individual investors
Goldman Sachs International	687,735,284	Shares held on behalf of individual investors
HSBC Broking Securities (Asia) Limited	1,530,000	Shares held on behalf of individual investors
JP Morgan Chase Bank	15,586,903	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00167

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01030		
3. Name of listed corporation filing this Form 4	Future Land Development Holdings Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	27,678,021	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00166

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01836		
3. Name of listed corporation filing this Form 4	Stella International Holdings Ltd		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
The Bank of New York Mellon (New York)	1,197,039	Shares held on behalf of their investors
The Bank of New York Mellon (Brussels)	47,560,220	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00165

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00331		
3. Name of listed corporation filing this Form 4	FSE Services Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
UBS A.G. London	16,000	Shares held on behalf of their investors
Chief Securities Ltd	2,698,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00164

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03836		
3. Name of listed corporation filing this Form 4	China Harmony New Energy Auto Holding Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
ABN Amro Clearing Bank and Custody	367,288	Shares held on behalf of individual investors
Barclays Capital Securities	1,812,236	Shares held on behalf of individual investors
BNP Paribas Securities Services France	5,000	Shares held on behalf of individual investors
BNP Paribas Securities Services	196,662	Shares held on behalf of individual investors
BNP Paribas Singapore	138,000	Shares held on behalf of individual investors
CCB International Securities Limited	16,000	Shares held on behalf of individual investors
Citibank N.A	84,829,941	Shares held on behalf of individual investors
Citibank	34,110,500	Shares held on behalf of individual investors
CMB INTERNATIONAL SECURITIES LIMITED	7,776,000	Shares held on behalf of individual investors
CMB Wing Lung Bank Limited	1,115,500	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00163

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00142		
3. Name of listed corporation filing this Form 4	First Pacific Company Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	323,123,552	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00161

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00780		
3. Name of listed corporation filing this Form 4	Tongcheng-Elong Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
JP Morgan Prime Nominees Ltd	1,760,800	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00160

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	22	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01995		
3. Name of listed corporation filing this Form 4	Ever Sunshine Lifestyle Services Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
JPMorgan Chase Bank, National Association Hong Kong	10,296,229	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00159

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02607		
3. Name of listed corporation filing this Form 4	Shanghai Pharmaceuticals Holding Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
JP Morgan Clearing Corporation	1,235,800	Shares held on behalf of their investors
JP Morgan Prime Nominees Ltd	2,303,025	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00158

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	29	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02186		
3. Name of listed corporation filing this Form 4	Luye Pharma Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
JP Morgan Clearing Corporation	1,276,500	Shares held on behalf of their investors
JP Morgan Prime Nominees Ltd	2,310,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00155

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03836		
3. Name of listed corporation filing this Form 4	China Harmony New Energy Auto Holding Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank	30,059,731	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
Add Row
Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
Add Row
Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
Add Row
Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00151

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00772		
3. Name of listed corporation filing this Form 4	China Literature Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
BNP Paribas Securities Services	625,188	Shares held on behalf of their clients		
DB Nominees (Singapore) Pte Ltd	4,607	Shares held on behalf of their clients		
SIX Securities Services	7,597	Shares held on behalf of their clients		
The Hongkong and Shanghai Banking Corporation Limited	73,662,701	Shares held on behalf of their clients		
The Hongkong and Shanghai Banking Corporation Limited, Private bank division	604,833	Shares held on behalf of their clients		
The Nomura Trust and Banking Co., Ltd.	70,000	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00147

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	02	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00787		
3. Name of listed corporation filing this Form 4	Global Brands Group Holding Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Credit Suisse Securities (USA) LLC	2,800	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00146

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	23	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08228		
3. Name of listed corporation filing this Form 4	National Arts Entertainment and Culture Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Bright Smart Securities International (H.K.) Limited	38,745,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00144

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01233		
3. Name of listed corporation filing this Form 4	Times China Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
BNP Paribas Securities Services	2,614,797	Shares held on behalf of their clients		
CMB Wing Lung Bank Limited	2,608,000	Shares held on behalf of their clients		
DB Nominees (Singapore) Pte Ltd	54,700	Shares held on behalf of their clients		
Halcyon Securities Limited	19,000	Shares held on behalf of their clients		
Huatai Financial Holdings (Hong Kong) Limited	152,000	Shares held on behalf of their clients		

JPMorgan Chase & Co.	93,900	Shares held on behalf of their clients
JPMorgan Prime Nominees Ltd	472,000	Shares held on behalf of their clients
Man Hon Yeung Securities Ltd	10,000	Shares held on behalf of their clients
PC Securities Limited	64,000	Shares held on behalf of their clients
RBC Investment Services (Asia) Limited	300,000	Shares held on behalf of their clients
Realink Financial Trade Limited	27,000	Shares held on behalf of their clients
Saxo Bank	50,000	Shares held on behalf of their clients
Sincere Securities Limited	301,000	Shares held on behalf of their clients
SIX Securities Services	826,000	Shares held on behalf of their clients
Soliton Securities Limited	13,000	Shares held on behalf of their clients
Sunrise Securities Ltd.	11,000	Shares held on behalf of their clients
The Hongkong and Shanghai Banking Corporation Limited	149,827,737	Shares held on behalf of their clients
The Hongkong and Shanghai Banking Corporation Limited, Private bank division	4,072,000	Shares held on behalf of their clients
UBS Switzerland AG	1,952,218	Shares held on behalf of their clients
Yue Xiu Securities Co Ltd	47,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

**18. Number of concert party document(s) under
section 317 attached/uploaded**

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00143

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	09	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03662		
3. Name of listed corporation filing this Form 4	Aoyuan Healthy Life Group Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank and Trust Company	300,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00142

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03380		
3. Name of listed corporation filing this Form 4	Logan Property Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
JP Morgan Clearing Corporation	494,000	Shares held on behalf of their investors		
JP Morgan Prime Nominees Ltd	68,000	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date			Add Row	Delete Row
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Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00141

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01233		
3. Name of listed corporation filing this Form 4	Times China Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Credit Suisse Securities (USA) LLC	110,024	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00140

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	24	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01828		
3. Name of listed corporation filing this Form 4	Dah Chong Hong Holdings Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
JP Morgan Prime Nominees Ltd	14,692,000	Shares held on behalf of their investors		
Credit Suisse Securities (USA) LLC	759,000	Shares held on behalf of their investors		
Goldman Sachs Int'l, Goldman Sachs & Co, Goldman Sachs (Asia) Finance, Goldman Sachs Financial Markets	19,191,277	Shares held on behalf of their investors		
Nanyang Commercial Bank	2,910,297	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00139

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01877		
3. Name of listed corporation filing this Form 4	Shanghai Junshi Biosciences Co., Ltd. - B - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
JPMorgan Chase & Co.	849,200	Shares held on behalf of their clients		
Mizuho Securities Asia Limited	200,000	Shares held on behalf of their clients		
Saxo Bank	8,000	Shares held on behalf of their clients		
The Hongkong and Shanghai Banking Corporation Limited	32,110,826	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00136

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03998		
3. Name of listed corporation filing this Form 4	Bosideng International Holdings Ltd		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
JP Morgan Clearing Corporation	534,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00135

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	22	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01368		
3. Name of listed corporation filing this Form 4	Xtep International Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
BNP Paribas Securities Services	4,540,913	Shares held on behalf of their clients
CMB Wing Lung Bank Limited	3,566,000	Shares held on behalf of their clients
JPMorgan Chase & Co.	1,187,363	Shares held on behalf of their clients
Saxo Bank	92,500	Shares held on behalf of their clients
SIX Securities Services	323,000	Shares held on behalf of their clients
The Hongkong and Shanghai Banking Corporation Limited	179,503,891	Shares held on behalf of their clients
The Hongkong and Shanghai Banking Corporation Limited, Private bank division	2,884,500	Shares held on behalf of their clients
UBS Switzerland AG	816,037	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

**18. Number of concert party document(s) under
section 317 attached/uploaded**

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00134

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01877		
3. Name of listed corporation filing this Form 4	Shanghai Junshi Biosciences Co., Ltd. - B - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank and Trust Company	2,443,161	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00133

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	06158		
3. Name of listed corporation filing this Form 4	Zhenro Properties Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
Credit Suisse AG - Dublin Branch	6,144,900	Shares held on behalf of their investors
Bank of China (H.K.) Ltd	7,168,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00132

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	03	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	06158		
3. Name of listed corporation filing this Form 4	Zhenro Properties Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
BOCI Securities Limited	8,191,343	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00131

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	15	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08308		
3. Name of listed corporation filing this Form 4	Gudou Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
Bright Smart Securities International (H.K.) Limited	108,000	Shares held on behalf of their clients
Tai Fung Kuentai Securities Company Limited	24,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00130

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	07	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00697		
3. Name of listed corporation filing this Form 4	Shougang Concord International Enterprises Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

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(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
BNP Paribas Securities Services	3,509,000	Shares held on behalf of their clients		
HSBC - Fund Services Clients Accounts	12,988,000	Shares held on behalf of their clients		
JPMorgan Chase Bank, National Association	314,969,768	Shares held on behalf of their clients		
Shanghai Commercial Bank Limited	60,418,000	Shares held on behalf of their clients		
Southwest Securities (HK) Brokerage Ltd	1,130,000	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00128

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	11	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01818		
3. Name of listed corporation filing this Form 4	Zhaojin Mining Industry Company Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Bright Smart Securities International (H.K.) Ltd	3,798,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00127

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	10	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00697		
3. Name of listed corporation filing this Form 4	Shougang Concord International Enterprises Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
Barclays Capital Securities Limited	972,000	Shares held on behalf of their clients		
BNP Paribas Securities Services	2,557,780	Shares held on behalf of their clients		
HSBC - Fund Services Clients Accounts	12,846,000	Shares held on behalf of their clients		
Shanghai Commercial Bank Limited	59,756,000	Shares held on behalf of their clients		
Southwest Securities (HK) Brokerage Ltd	1,130,000	Shares held on behalf of their clients		
The Bank of New York Mellon Corporation	37,623,171	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00126

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02393		
3. Name of listed corporation filing this Form 4	Yestar Healthcare Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
Morgan Stanley & Co LLC, Morgan Stanley & Co International plc	130,000	Shares held on behalf of their investors		
Citibank NA London, Citibank - Luxembourg, Citibank - Jersey & Citibank - New York. [Asia]	132,500	Shares held on behalf of their investors		
Credit Suisse Securities (USA) LLC	12,500	Shares held on behalf of their investors		
State Street Bank & Trust Co	10,400,000	Shares held on behalf of their investors		
UBS A.G. London	0	Shares held on behalf of their investors		
JPMorgan Chase Bank, National Association Hong Kong	983,500	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00125

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	06068		
3. Name of listed corporation filing this Form 4	Wisdom Education International Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	860,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00124

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	27	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00288		
3. Name of listed corporation filing this Form 4	WH Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
DBS Bank (Hong Kong) Ltd	9,802,818	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00123

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	10	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00486		
3. Name of listed corporation filing this Form 4	United Company Rusal Plc		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
---------	------------

Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Alfa Capital Holdings (Cyprus)	5,360,390	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00121

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01986		
3. Name of listed corporation filing this Form 4	Tsaker Chemical Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	586,500	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00120

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01986		
3. Name of listed corporation filing this Form 4	Tsaker Chemical Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
---------	------------

Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
---------	------------

Name	Total number of shares (long position)	Name of person interested in shares
Maybank Kim Eng Securities Pte Ltd	199,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00119

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01300		
3. Name of listed corporation filing this Form 4	Trigiant Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	2,840,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00117

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03688		
3. Name of listed corporation filing this Form 4	Top Spring International Holdings Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
OCBC Securities Pte Ltd	11,925,500	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00116

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01811		
3. Name of listed corporation filing this Form 4	CGN New Energy Holdings Co., Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
SHENWAN HONGYUAN SECURITIES (HONG KONG) LIMITED	8,022,000	Shares held on behalf of individual investors		
The Hongkong and Shanghai Banking Corporation Limited	197,981,747	Shares held on behalf of individual investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date	Add Row	Delete Row
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Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

2

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00115

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01811		
3. Name of listed corporation filing this Form 4	CGN New Energy Holdings Co., Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Deutsche Bank AG Prime Brokerage	54,000	Shares held on behalf of individual investors
Deutsche Securities Asia Limited	576,000	Shares held on behalf of individual investors
Goldman Sachs International	844,000	Shares held on behalf of individual investors
HSBC Broking Securities (Asia) Limited	612,000	Shares held on behalf of individual investors
HSBC Private Bank	19,011,000	Shares held on behalf of individual investors
HUATAI FINANCIAL HOLDINGS (HONG KONG) LIMITED	3,462,000	Shares held on behalf of individual investors
JP Morgan Chase Bank	31,086,804	Shares held on behalf of individual investors
Morgan Stanley and Company International Plc	26,616,000	Shares held on behalf of individual investors
MORGAN STANLEY HONG KONG SECURITIES LIMITED	29,254,000	Shares held on behalf of individual investors
RBC Dain Rauscher Incorporated	706,000	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
---------	------------

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00114

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	06060		
3. Name of listed corporation filing this Form 4	ZhongAn Online P & C Insurance Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
CMB International Securities Limited	1,216,700	Shares held on behalf of their clients		
Deutsche Bank AG, Singapore Branch Private Wealth Management	2,628,927	Shares held on behalf of their clients		
Goldman Sachs Services Private Limited	5,619,601	Shares held on behalf of their clients		
Raffles Nominees Pte Limited	1,039,710	Shares held on behalf of their clients		
SG Securities (HK) Ltd	430,077	Shares held on behalf of their clients		
Shanghai Commercial Bank Limited	1,621,122	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00111

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	06060		
3. Name of listed corporation filing this Form 4	ZhongAn Online P & C Insurance Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Deutsche Bank AG, Singapore Branch Private Wealth Management	2,627,757	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00110

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01811		
3. Name of listed corporation filing this Form 4	CGN New Energy Holdings Co., Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Barclays Capital Securities	869,500	Shares held on behalf of individual investors
CCB International Securities Limited	10,000	Shares held on behalf of individual investors
CHINA EVERBRIGHT SECURITIES (HONG KONG) LIMITED	14,138,000	Shares held on behalf of individual investors
Citibank N.A	84,992,381	Shares held on behalf of individual investors
Citibank	73,530,000	Shares held on behalf of individual investors
CMB INTERNATIONAL SECURITIES LIMITED	2,600,000	Shares held on behalf of individual investors
CMB Wing Lung Bank Limited	22,610,000	Shares held on behalf of individual investors
Credit Suisse AG Hong Kong Branch	1,000,000	Shares held on behalf of individual investors
Deutsche Bank AG Hong Kong	3,414,246	Shares held on behalf of individual investors
Deutsche Bank AG London	522,000	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

10

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00109

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01752		
3. Name of listed corporation filing this Form 4	Top Education Group Ltd		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	35,900,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00108

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	15	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	06060		
3. Name of listed corporation filing this Form 4	ZhongAn Online P & C Insurance Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
CMB International Securities Limited	1,239,800	Shares held on behalf of their clients
Deutsche Bank AG, Singapore Branch Private Wealth Management	2,677,757	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00107

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01811		
3. Name of listed corporation filing this Form 4	CGN New Energy Holdings Co., Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Share		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank	35,679,074	Shares held on behalf of individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00105

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00780		
3. Name of listed corporation filing this Form 4	Tongcheng-Elong Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	1,286,800	Shares held on behalf of their investors
CACEIS Bank Luxembourg	3,680,400	Shares held on behalf of their investors
UBS AG	107,200	Shares held on behalf of their investors
Credit Suisse AG - Dublin Branch	22,629,600	Shares held on behalf of their investors
Citibank NA London, Citibank - Luxembourg, Citibank - Jersey & Citibank - New York. [Asia]	17,582,200	Shares held on behalf of their investors
JPMorgan Chase Bank, N.A.	97,097,860	Shares held on behalf of their investors
JPMorgan Chase Bank (London)	1,762,010	Shares held on behalf of their investors
HSBC International Trustee, The Hong Kong & Shanghai Banking Corporation Limited & HSBC Institutional Trust Services (Asia) Limited	315,770,660	Shares held on behalf of their investors
UBS A.G. London	262,000	Shares held on behalf of their investors
UBS Securities Hong Kong Ltd	620,194	Shares held on behalf of their investors

JPMorgan Chase Bank, National Association Hong Kong	159,516,334	Shares held on behalf of their investors
The Hongkong and Shanghai Banking Corporation Ltd	339,240,267	Shares held on behalf of their investors
Deutsche Bank AG	5,006,757	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00103

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	21	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08476		
3. Name of listed corporation filing this Form 4	Ocean One Holding Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
BMI Securities Limited	70,000	Shares held on behalf of their clients		
Bright Smart Securities International (H.K.) Limited	10,484,000	Shares held on behalf of their clients		
CMB International Securities Limited	60,000	Shares held on behalf of their clients		
UOB Kay Hian Pte. Ltd.	2,136,000	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00102

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01980		
3. Name of listed corporation filing this Form 4	Tian Ge Interactive Holdings Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
Standard Chartered Bank (Hong Kong) Ltd	29,557,320	Shares held on behalf of their investors
Guotai Junan Securities (Hong Kong) Ltd	3,084,000	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00100

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	03	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01806		
3. Name of listed corporation filing this Form 4	Huifu Payment Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank and Trust Company	2,386,835	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00099

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01972		
3. Name of listed corporation filing this Form 4	Swire Properties Ltd		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
National Bank Trust	92,035	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00098

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	06808		
3. Name of listed corporation filing this Form 4	Sun Art Retail Group Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	77,375,532	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00097

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01836		
3. Name of listed corporation filing this Form 4	Stella International Holdings Ltd		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Northern Trust Company	33,640,627	Shares held on behalf of their investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00096

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	29	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02166		
3. Name of listed corporation filing this Form 4	Smart-Core Holdings Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
The Bank of New York Mellon (Brussels)	3,526,200	Shares held on behalf of their investors		
HSBC International Trustee, The Hong Kong & Shanghai Banking Corporation Limited & HSBC Institutional Trust Services (Asia) Limited	100,000,000	Shares held on behalf of their investors		
The Hongkong and Shanghai Banking Corporation Ltd	127,595,000	Shares held on behalf of their investors		
JPMorgan Chase Bank, National Association Hong Kong	678,000	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

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(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00095

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	06160		
3. Name of listed corporation filing this Form 4	BeiGene, Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Standard Chartered Bank (Hong Kong) Limited	8,068,316	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00094

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02888		
3. Name of listed corporation filing this Form 4	Standard Chartered PLC		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
BNP Paribas Securities Services	5,174,565	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00093

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00823		
3. Name of listed corporation filing this Form 4	Link Real Estate Investment Trust		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Deutsche Bank AG, Singapore Branch Private Wealth Management	897,389	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00087

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03333		
3. Name of listed corporation filing this Form 4	China Evergrande Group		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Deutsche Bank AG, Singapore Branch Private Wealth Management	367,856,860	Shares held on behalf of their clients
SG Securities (HK) Ltd	1,850,625	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)**13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date**

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES**14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date**

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00086

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03333		
3. Name of listed corporation filing this Form 4	China Evergrande Group		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
ABN AMRO Clearing Hong Kong Ltd.	2,093,596	Shares held on behalf of their clients		
CMB International Securities Limited	1,659,000	Shares held on behalf of their clients		
Deutsche Bank AG, Singapore Branch Private Wealth Management	367,823,360	Shares held on behalf of their clients		
KBC Bank NV	858,307	Shares held on behalf of their clients		
SG Securities (HK) Ltd	1,770,625	Shares held on behalf of their clients		
Shanghai Commercial Bank Limited	3,800,720	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00085

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	01	11	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03333		
3. Name of listed corporation filing this Form 4	China Evergrande Group		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
Aizawa Securities Co., Ltd.	279,000	Shares held on behalf of their clients
Pictet & Cie (Europe) SA	694,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00083

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02616		
3. Name of listed corporation filing this Form 4	CStone Pharmaceuticals - B		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
BNP Paribas Securities Services	1,757,721	Shares held on behalf of their clients		
Huatai Financial Holdings (Hong Kong) Limited	42,599,788	Shares held on behalf of their clients		
Mizuho Securities Asia Limited	197,000	Shares held on behalf of their clients		
The Hongkong and Shanghai Banking Corporation Limited	60,667,246	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00082

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08343		
3. Name of listed corporation filing this Form 4	HongGuang Lighting Holdings Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

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(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Business Securities Ltd	10,000	Shares held on behalf of their individual investors
Innovax Securities Ltd	295,000	Shares held on behalf of their individual investors
SPS Securities Ltd	5,000	Shares held on behalf of their individual investors
Supreme China Securities Ltd	10,000	Shares held on behalf of their individual investors
VC Brokerage Ltd	845,000	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00073

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	29	03	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08402		
3. Name of listed corporation filing this Form 4	GT Steel Construction Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Tung Tak Securities (H.K.) Co Ltd	15,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

0

18. Number of concert party document(s) under
section 317 attached/uploaded

0

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00072

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	28	03	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08402		
3. Name of listed corporation filing this Form 4	GT Steel Construction Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Tung Tak Securities (H.K.) Co Ltd	15,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

0

18. Number of concert party document(s) under
section 317 attached/uploaded

0

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00070

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	27	03	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08402		
3. Name of listed corporation filing this Form 4	GT Steel Construction Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Tung Tak Securities (H.K.) Co Ltd	15,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

0

18. Number of concert party document(s) under
section 317 attached/uploaded

0

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00068

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	26	03	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08402		
3. Name of listed corporation filing this Form 4	GT Steel Construction Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Tung Tak Securities (H.K.) Co Ltd	15,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

0

18. Number of concert party document(s) under
section 317 attached/uploaded

0

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00067

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	03	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08402		
3. Name of listed corporation filing this Form 4	GT Steel Construction Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Tung Tak Securities (H.K.) Co Ltd	15,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

0

18. Number of concert party document(s) under
section 317 attached/uploaded

0

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00066

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	18	03	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08402		
3. Name of listed corporation filing this Form 4	GT Steel Construction Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Tung Tak Securities (H.K.) Co Ltd	15,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

0

18. Number of concert party document(s) under
section 317 attached/uploaded

0

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00065

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	01	02	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08402		
3. Name of listed corporation filing this Form 4	GT Steel Construction Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Tung Tak Securities (H.K.) Co Ltd	15,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

0

18. Number of concert party document(s) under
section 317 attached/uploaded

0

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00064

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	11	10	2018
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08402		
3. Name of listed corporation filing this Form 4	GT Steel Construction Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Tung Tak Securities (H.K.) Co Ltd	15,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

0

18. Number of concert party document(s) under
section 317 attached/uploaded

0

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00063

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	11	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08267		
3. Name of listed corporation filing this Form 4	Linekong Interactive Group Co., Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Deutsche Bank AG, Singapore Branch Private Wealth Management	2,800,500	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00062

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01832		
3. Name of listed corporation filing this Form 4	S.A.I. Leisure Group Co. Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Standard Chartered Bank (Hong Kong) Limited	496,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00060

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	23	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08452		
3. Name of listed corporation filing this Form 4	FY Financial (Shenzhen) Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
Bright Smart Securities International (H.K.) Limited	140,000	Shares held on behalf of their clients
China Securities (International) Brokerage Company Limited	36,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00058

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01896		
3. Name of listed corporation filing this Form 4	Maoyan Entertainment		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Deutsche Bank AG, Singapore Branch Private Wealth Management	20,306,400	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00056

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00699		
3. Name of listed corporation filing this Form 4	Car Inc.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

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(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
BNP Paribas Securities Services	2,908,151	Shares held on behalf of their clients		
Saxo Bank	108,000	Shares held on behalf of their clients		
The Hongkong and Shanghai Banking Corporation Limited	396,236,663	Shares held on behalf of their clients		
The Hongkong and Shanghai Banking Corporation Limited, Private bank division	122,000	Shares held on behalf of their clients		
UBS Switzerland AG	49,000	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00055

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01070		
3. Name of listed corporation filing this Form 4	TCL Electronics Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Aizawa Securities Co., Ltd.	322,800	Shares held on behalf of their clients
BNP Paribas Securities Services	2,572,948	Shares held on behalf of their clients
JPMorgan Chase & Co.	375,000	Shares held on behalf of their clients
Mitsubishi UFJ Morgan Stanley Securities Co.,Ltd	183,000	Shares held on behalf of their clients
Saxo Bank	142,333	Shares held on behalf of their clients
SIX Securities Services	31,400	Shares held on behalf of their clients
The Hongkong and Shanghai Banking Corporation Limited	134,202,989	Shares held on behalf of their clients
The Hongkong and Shanghai Banking Corporation Limited, Private bank division	10,597,733	Shares held on behalf of their clients
Victory Securities Company Limited	182,671	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

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(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00048

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	19	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08463		
3. Name of listed corporation filing this Form 4	TOMO Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Rifa Securities Limited	1,144,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00045

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01548		
3. Name of listed corporation filing this Form 4	Genscript Biotech Corporation		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
CMB International Securities Limited	2,386,000	Shares held on behalf of their clients
Deutsche Bank AG, Singapore Branch Private Wealth Management	184,000	Shares held on behalf of their clients
Essence International Securities (Hong Kong) Limited	13,070,000	Shares held on behalf of their clients
Goldman Sachs Services Private Limited	35,994,290	Shares held on behalf of their clients
KBC Bank NV	831,220	Shares held on behalf of their clients
SG Securities (HK) Ltd	228,000	Shares held on behalf of their clients
Shanghai Commercial Bank Limited	1,548,000	Shares held on behalf of their clients
UBS Securities Hong Kong Ltd	13,072,529	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

**18. Number of concert party document(s) under
section 317 attached/uploaded**

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00044

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00700		
3. Name of listed corporation filing this Form 4	Tencent Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
Standard Chartered Bank (Hong Kong) Limited	1,222,022,227	Shares held on behalf of their clients
State Street Bank and Trust Company	798,174,656	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)**13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date**

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES**14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date**

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00041

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	11	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01052		
3. Name of listed corporation filing this Form 4	Yuxiu Transport Infrastructure Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
---------	------------

Name	Total number of shares (long position)	Name of person interested in shares
State Street Bank and Trust Company	112,441,123	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00032

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	30	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02202		
3. Name of listed corporation filing this Form 4	China Vanke Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (long position)	Name of person interested in shares
BNP Paribas Securities Services	55,385,982	Shares held on behalf of their clients
BNP Paribas Securities Services Singapore Branch	2,912,800	Shares held on behalf of their clients
Caceis Bank Luxembourg	891,710	Shares held on behalf of their clients
Caceis Bank Paris	155,032	Shares held on behalf of their clients
Danske Bank	449,041	Shares held on behalf of their clients
HSBC Securities Brokers (Asia) Limited	4,686,634	Shares held on behalf of their clients
JPMorgan Chase & Co.	10,063,800	Shares held on behalf of their clients
Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	38,368,692	Shares held on behalf of their clients
National Nominees Limited	79,300	Shares held on behalf of their clients
RBC Investor Services Bank S.A.	38,641,000	Shares held on behalf of their clients
RBC Investor Services Trust	1,698,346	Shares held on behalf of their clients

SEB	1,867,551	Shares held on behalf of their clients
SG Securities (HK) Ltd	1,038,046	Shares held on behalf of their clients
UBS Switzerland AG	1,653,780	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares
Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	9,259,946	Shares held on behalf of their clients

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

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15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00030

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01299		
3. Name of listed corporation filing this Form 4	AIA Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	100000	shares	
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
Korea Securities Depository	123,284	Shares held on behalf of their clients		
The Hongkong and Shanghai Banking Corporation Limited	4,168,781,228	Shares held on behalf of their clients		
The Hongkong and Shanghai Banking Corporation Limited, Private bank division	63,172,664	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00029

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01299		
3. Name of listed corporation filing this Form 4	AIA Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	0		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
BNP Paribas Securities Services	200,873,379	Shares held on behalf of their clients
BNP Paribas Securities Services Singapore Branch	8,423,663	Shares held on behalf of their clients
DB Nominees (Singapore) Pte Ltd	1,519,993	Shares held on behalf of their clients
Nomura Bank (Luxembourg) S.A.	401,600	Shares held on behalf of their clients
Pictet & Cie (Europe) SA	9,183,812	Shares held on behalf of their clients
SIX Securities Services	54,395,035	Shares held on behalf of their clients
The Nomura Trust and Banking Co., Ltd.	6,719,287	Shares held on behalf of their clients
UBS Switzerland AG	23,242,670	Shares held on behalf of their clients
Union Bancaire Priv é e, UBP SA Hong Kong Branch	755,636	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

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(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191104E00001

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	10	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00486		
3. Name of listed corporation filing this Form 4	United Company Rusal Plc		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
JPMorgan Chase Bank, National Association	90,211,673	Shares held on behalf of their investors		
HSBC International Trustee, The Hong Kong & Shanghai Banking Corporation Limited & HSBC Institutional Trust Services (Asia) Limited	0	Shares held on behalf of their investors		
The Hongkong and Shanghai Banking Corporation Ltd	1,732,625,510	Shares held on behalf of their investors		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date				Add Row	Delete Row
Name	Total number of shares		Name of person interested in shares		
	Long position	Short position			

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

Revised Form4 and Supdocs

16. ☒ Check this box if this is a revision of a previously submitted form

IR20191015E00317

17. Number of investigation report(s) attached/uploaded

1

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00257

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	21	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08442		
3. Name of listed corporation filing this Form 4	SG Group Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
OCBC WING HANG BANK LTD	8,000	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00256

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	05	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08442		
3. Name of listed corporation filing this Form 4	SG Group Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
OCBC WING HANG BANK LTD	8,000	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00255

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	04	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08442		
3. Name of listed corporation filing this Form 4	SG Group Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
OCBC WING HANG BANK LTD	8,000	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00254

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	03	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08442		
3. Name of listed corporation filing this Form 4	SG Group Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
OCBC WING HANG BANK LTD	8,000	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00250

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	19	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01589		
3. Name of listed corporation filing this Form 4	China Logistics Property Holdings Co., Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Guotai Junan Securities (Hong Kong) Ltd	11,679,000	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00249

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	11	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01700		
3. Name of listed corporation filing this Form 4	Springland International Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
JPMorgan Chase Bank, National	33,237,099	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00248

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08465		
3. Name of listed corporation filing this Form 4	Transtech Optelecom Science Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HUARONG INTERNATIONAL SECURITIES LTD	8,000	Shares held on behalf of their clients		
EAST ASIA SECURITIES CO LTD	30,000	Shares held on behalf of their clients		
NANYANG COMMERCIAL BANK LTD	76,000	Shares held on behalf of their clients		
TAI FUNG KUENTAI SECURITIES CO LTD	120,000	Shares held on behalf of their clients		
Shanghai Commercial Bank Ltd	152,000	Shares held on behalf of their clients		
SOUTHWEST SECURITIES (HK) BROKERAGE LTD	212,000	Shares held on behalf of their clients		
GF SECURITIES (HONG KONG) BROKERAGE LTD	2,166,000	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date			Add Row	Delete Row
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Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

7

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00247

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08465		
3. Name of listed corporation filing this Form 4	Transtech Optelecom Science Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HUARONG INTERNATIONAL SECURITIES LTD	8,000	Shares held on behalf of their clients		
EAST ASIA SECURITIES CO LTD	30,000	Shares held on behalf of their clients		
NANYANG COMMERCIAL BANK LTD	76,000	Shares held on behalf of their clients		
TAI FUNG KUENTAI SECURITIES CO LTD	120,000	Shares held on behalf of their clients		
Shanghai Commercial Bank Ltd	152,000	Shares held on behalf of their clients		
SOUTHWEST SECURITIES (HK) BROKERAGE LTD	212,000	Shares held on behalf of their clients		
GF SECURITIES (HONG KONG) BROKERAGE LTD	2,166,000	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date			Add Row	Delete Row
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Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

7

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00246

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08465		
3. Name of listed corporation filing this Form 4	Transtech Optelecom Science Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
HUARONG INTERNATIONAL SECURITIES LTD	8,000	Shares held on behalf of their clients
EAST ASIA SECURITIES CO LTD	30,000	Shares held on behalf of their clients
NANYANG COMMERCIAL BANK LTD	76,000	Shares held on behalf of their clients
TAI FUNG KUENTAI SECURITIES CO LTD	120,000	Shares held on behalf of their clients
Shanghai Commercial Bank Ltd	152,000	Shares held on behalf of their clients
SOUTHWEST SECURITIES (HK) BROKERAGE LTD	212,000	Shares held on behalf of their clients
GF SECURITIES (HONG KONG) BROKERAGE LTD	2,166,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

7

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00245

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08376		
3. Name of listed corporation filing this Form 4	Fineland Real Estate Services Group Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Bank Of Communications Trustee Ltd	1,152,000	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00244

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08465		
3. Name of listed corporation filing this Form 4	Transtech Optelecom Science Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HUARONG INTERNATIONAL SECURITIES LTD	8,000	Shares held on behalf of their clients		
EAST ASIA SECURITIES CO LTD	30,000	Shares held on behalf of their clients		
NANYANG COMMERCIAL BANK LTD	76,000	Shares held on behalf of their clients		
TAI FUNG KUENTAI SECURITIES CO LTD	120,000	Shares held on behalf of their clients		
Shanghai Commercial Bank Ltd	152,000	Shares held on behalf of their clients		
SOUTHWEST SECURITIES (HK) BROKERAGE LTD	212,000	Shares held on behalf of their clients		
GF SECURITIES (HONG KONG) BROKERAGE LTD	2,166,000	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date			Add Row	Delete Row
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Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

7

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00243

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08465		
3. Name of listed corporation filing this Form 4	Transtech Optelecom Science Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
HUARONG INTERNATIONAL SECURITIES LTD	8,000	Shares held on behalf of their clients
EAST ASIA SECURITIES CO LTD	30,000	Shares held on behalf of their clients
NANYANG COMMERCIAL BANK LTD	76,000	Shares held on behalf of their clients
TAI FUNG KUENTAI SECURITIES CO LTD	120,000	Shares held on behalf of their clients
Shanghai Commercial Bank Ltd	152,000	Shares held on behalf of their clients
SOUTHWEST SECURITIES (HK) BROKERAGE LTD	212,000	Shares held on behalf of their clients
GF SECURITIES (HONG KONG) BROKERAGE LTD	2,166,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

7

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00242

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08465		
3. Name of listed corporation filing this Form 4	Transtech Optelecom Science Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (long position)	Name of person interested in shares
HUARONG INTERNATIONAL SECURITIES LTD	8,000	Shares held on behalf of their clients
EAST ASIA SECURITIES CO LTD	30,000	Shares held on behalf of their clients
NANYANG COMMERCIAL BANK LTD	76,000	Shares held on behalf of their clients
TAI FUNG KUENTAI SECURITIES CO LTD	120,000	Shares held on behalf of their clients
Shanghai Commercial Bank Ltd	152,000	Shares held on behalf of their clients
SOUTHWEST SECURITIES (HK) BROKERAGE LTD	212,000	Shares held on behalf of their clients
GF SECURITIES (HONG KONG) BROKERAGE LTD	2,166,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row

Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row

Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

7

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00241

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	10	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08465		
3. Name of listed corporation filing this Form 4	Transtech Optelecom Science Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (long position)	Name of person interested in shares		
HUARONG INTERNATIONAL SECURITIES LTD	8,000	Shares held on behalf of their clients		
EAST ASIA SECURITIES CO LTD	30,000	Shares held on behalf of their clients		
NANYANG COMMERCIAL BANK LTD	76,000	Shares held on behalf of their clients		
TAI FUNG KUENTAI SECURITIES CO LTD	120,000	Shares held on behalf of their clients		
Shanghai Commercial Bank Ltd	152,000	Shares held on behalf of their clients		
SOUTHWEST SECURITIES (HK) BROKERAGE LTD	212,000	Shares held on behalf of their clients		
GF SECURITIES (HONG KONG) BROKERAGE LTD	2,166,000	Shares held on behalf of their clients		

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)			Add Row	Delete Row
Name	Total number of shares (short position)	Name of person having short position in shares		

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date			Add Row	Delete Row
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Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row

Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s) attached/uploaded

7

18. Number of concert party document(s) under section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00239

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	31	07	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01570		
3. Name of listed corporation filing this Form 4	Weiye Holdings Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
BANK OF COMMUNICATIONS TRUSTEE LTD	2,752,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

0

18. Number of concert party document(s) under
section 317 attached/uploaded

0

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00238

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	07	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01570		
3. Name of listed corporation filing this Form 4	Weiye Holdings Limited		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)	Nil		shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
BANK OF COMMUNICATIONS TRUSTEE LTD	2,747,000	Shares held on behalf of their clients

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

0

18. Number of concert party document(s) under
section 317 attached/uploaded

0

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00236

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	08142		
3. Name of listed corporation filing this Form 4	Tak Lee Machinery Holdings Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Bank Of Communications Trustee Ltd	1,330,000	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00218

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	13	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02633		
3. Name of listed corporation filing this Form 4	Jacobson Pharma Corporation Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Bank Of Communications Trustee Ltd	4,062,000	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00202

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	25	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02012		
3. Name of listed corporation filing this Form 4	Sunshine Oilsands Ltd.		
4. Class of shares of listed corporation specified in the s.329 notice	Ordinary Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Bank Of Communications Trustee Ltd	87,697,405	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add Row Delete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add Row Delete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add Row Delete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00193

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	23	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	01072		
3. Name of listed corporation filing this Form 4	Dongfang Electric Corporation Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	H Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Bank Of Communications Trustee Ltd	3,130,600	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00191

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	17	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00588		
3. Name of listed corporation filing this Form 4	Beijing North Star Co. Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	H Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Bank Of Communications Trustee Ltd	3,424,000	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)
13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES
14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00188

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	16	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	00338		
3. Name of listed corporation filing this Form 4	Sinopec Shanghai Petrochemical Co. Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	H Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Bank Of Communications Trustee Ltd	9,337,000	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)
[Add Row](#) [Delete Row](#)

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date
[Add Row](#) [Delete Row](#)

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date
[Add Row](#) [Delete Row](#)

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00183

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	05	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	03689		
3. Name of listed corporation filing this Form 4	Guangdong Kanghua Healthcare Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	H Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Interactive Brokers Hong Kong Ltd	533,200	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded

LISTED CORPORATION NOTICE - FINDINGS OF INVESTIGATION REPORT

Notice pursuant to section 330/333 of the Securities and Futures Ordinance (Cap.571) ("SFO")

IR20191101E00175

We hereby give you notice pursuant to section 330/333 of the SFO that in pursuance of a requirement imposed by us

under section 329 of the SFO ("s.329") we have received the information summarised below :

Note: The "shares" are the voting shares in the listed corporation filing this section 330/333 notice.

This Form must be completed in accordance with the directions and instructions in the [Notes to Form 4](#).

1. Date specified in the s.329 notice for determining interests or short position in shares to be disclosed ("Specified Date"): (If there is more than one Specified Date please file a Form 4 for each Specified Date)	27	09	2019
	(Day)	(Month)	(Year)
2. Stock code of listed corporation	02359		
3. Name of listed corporation filing this Form 4	WuXi AppTec Co., Ltd. - H Shares		
4. Class of shares of listed corporation specified in the s.329 notice	H Shares		
5. Threshold shareholding set in the s.329 notice for disclosure obligation (if any)			shares
6. Name of individual filing this Form 4			
7. Correspondence address of individual filing this Form 4			
8. Daytime telephone number of individual filing this Form 4			
9. Email address of individual filing this Form 4			

10. Name(s) of person to whom the s.329 notice was given

Add Row	Delete Row
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Name	Address	Contact person	Email address	Telephone number

(i) PERSONS INTERESTED IN SHARES OR HAVING SHORT POSITION IN SHARES ON THE SPECIFIED DATE (EXCLUDING DERIVATIVE INTERESTS)

11. Information provided in response to the s.329 notice concerning persons interested in shares on the Specified Date (excluding derivative interests)

Add Row	Delete Row
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Name	Total number of shares (long position)	Name of person interested in shares
Interactive Brokers Hong Kong Ltd	466,240	Shares held on behalf of their individual investors

12. Information provided in response to the s.329 notice concerning persons having short position in shares on the Specified Date (excluding derivative interests)

Add RowDelete Row

Name	Total number of shares (short position)	Name of person having short position in shares

(ii) PERSONS INTERESTED IN SHARES ON THE SPECIFIED DATE (DERIVATIVE INTERESTS ONLY)

13. Information provided in response to the s.329 notice concerning persons interested in shares which are the underlying shares of equity derivatives on the Specified Date

Add RowDelete Row

Name	Total number of shares		Name of person interested in shares
	Long position	Short position	

(iii) PERSONS ACTING IN CONCERT OR SUBJECT TO AN AGREEMENT RELATING TO THE EXERCISE OF RIGHTS UNDER SHARES

14. Information on parties to an agreement to which section 317 of the SFO applies, or any agreement relating to the exercise of rights in respect of shares, on the Specified Date

Add RowDelete Row

Names of parties	Total number of shares (excluding derivative interests)		Total number of shares (derivative interests only)		Name of person interested in shares
	Long position	Short position	Long position	Short position	

15. Supplementary information

16. ☐ Check this box if this is a revision of a previously submitted form

17. Number of investigation report(s)
attached/uploaded

1

18. Number of concert party document(s) under
section 317 attached/uploaded